

# Age estimation of North Atlantic Right Whales by allometric measurements on photographs

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## ABSTRACT

Models to predict the age of North Atlantic right whales (*Eubalaena glacialis*) were developed using shipboard lateral photographs of their heads. Models based on allometric ratios of head measurements explained three quarters or more of the variability in the square root of age as response variable. Model fit was lower for whales with continuous callosity patterns. Changes in the curvature of the rostrum and the height of the dome were the best predictors of age in the external anatomy of the head of right whales. The accuracy of age prediction decreased with age: it was maximum for calves and minimum for whales older than 8yr. Mean coefficients of variation of repeated measurements ranged from 0.31 to 4.11%. Photographs taken at medium distance from the whales were better than those taken close or far, to avoid biases related to the angle of view of the subjects and to the small size of the measurements, respectively. This photogrammetric technique is non-intrusive, simple and inexpensive. Because it is based on measurement ratios, it eliminates the need to know the distance to the subjects or to have a scale object close to them to estimate the age of live right whales.

KEYWORDS: AGE DETERMINATION, NORTH ATLANTIC RIGHT WHALE, MODELLING, PHOTOGRAMMETRY, REGRESSION

## INTRODUCTION

Knowing the age of individuals in the wild is critical for understanding population dynamics and for estimating the demographic parameters of a species. Depleted whale stocks must be monitored by means of benign techniques that do not rely on disturbing or killing the whales to determine life history parameters and population trends (Whitehead and Payne, 1981; Kraus *et al.*, 1986; Best and Rüther, 1992; Spitz *et al.*, 2000).

In this context, several non-invasive techniques have been used to measure the size and thus estimate the age of cetaceans. Some rely on a scaling object of known size that is photographed from an aircraft in the same frame as the whale that is being measured (Whitehead and Payne, 1981). Others combine altimeter readings and the focal length of the camera lens to estimate the size of whales from aerial photographs (Cubbage and Calambokidis, 1987; Best and Rüther, 1992; Ratnaswamy and Winn, 1993; Angliss *et al.*, 1995; Perryman and Lynn, 2002). Photographs taken from boats also allow estimation of body sizes of sperm whales (Gordon, 1990; Dawson *et al.*, 1995). Underwater videogrammetry has been used to measure humpback whales while gathering data on individual identification and behavior (Spitz *et al.* 2000).

Right whales (*Eubalaena*) can be identified individually by the unique pattern of callosities on their heads (Payne *et al.*, 1983; Kraus *et al.*, 1986). Long-term studies have produced photoidentification catalogs of southern right whales (*E. australis*) in the SW Atlantic (Payne and Rowntree, 1984) and North Atlantic right whales (*E. glacialis*) in the NW Atlantic (Hamilton and Martin, 1999). Photographs are taken from a variety of

49 platforms, including boats and airplanes. The small size of the callosity pattern of newborn calves and the large  
50 patches of cyamids on their heads (Rowntree, 1996) make the identification of calves difficult. As a  
51 consequence, whales that were not identified in their year of birth and that are resighted in later years are of  
52 unknown age.

53 The head of right whales shows positive allometric growth from their calf year to adulthood, although  
54 the relationship is not sufficiently strong to estimate growth during the first year of life (Whitehead and Payne,  
55 1981; Best and Rüther, 1992). The overall shape of the head changes with age; in profile the head appears  
56 “truncated” and with a raised coaming in calves (Hamilton and Martin, 1999). The rostrum flattens as the  
57 animals grow. These head shape changes, if properly quantified, could provide a basis for age estimation.

58 We developed a technique to estimate the age of N Atlantic right whales based on a combination of  
59 ratios of allometric measurements of their head taken from lateral shipboard photographs of whales of known  
60 ages. The main aim of the technique is to predict the age of whales whose year of birth is unknown using  
61 allometric ratios. The application of this method could allow better estimations of population parameters that are  
62 based on the age of individuals. The technique could be applied to all right whale populations and could improve  
63 the assessment of the demographic parameters of right whales throughout the northern and southern  
64 hemispheres.

65

## 66 MATERIALS AND METHODS

67

### 68 Photographs used

69 Measurements were taken on 374 photographs of 62 N Atlantic right whales (30 females and 32 males) born  
70 between 1986 and 1996. The year of birth was known for all the whales selected. The photographs are stored in  
71 the catalog compiled at the New England Aquarium in Boston (for a catalog description, see Hamilton and  
72 Martin, 1999). The photographs used were shipboard color slides that showed lateral views of the rostrum, the  
73 upper portion of the lower lip, and the back of the whales above the water surface. Photographs of some whales  
74 were not available for some years due to individual differences in sighting and photographic records. A  
75 description of photoidentification problems of N Atlantic right whales can be found in Kraus *et al.* (1986).

76

### 77 Measurements taken

78 Fig. 1 shows the measurements taken on the photographs. In order to make this technique simple to use and  
79 available to most right whale researchers, all measurements were made directly on the projected image of slides  
80 on a white paper screen to a precision of 0.5mm. The distance between the lens of the projector and the screen  
81 was 180cm, and the size of the projected image was 40 x 60cm. Sketches of the head profile (including the  
82 outline of the callosities and the lower lip) of some whales were made by projecting the image on a sheet of  
83 paper and by drawing on the paper along the profile. The sketches of each individual were visualized  
84 simultaneously to compare the changes in the head profile at different ages.

85 The callosity nomenclature used here follows that proposed by Payne *et al.* (1983). Some terms used in  
86 this paper are defined in Table 1. In other studies (*e.g.*, Whitehead and Payne, 1981; Best and Rüther, 1992;  
87 Ratnaswamy and Winn, 1993), the rostral length was measured from the tip of the snout to the center of the  
88 blowholes. Here, the rostral length was measured from the front tip of the bonnet (visible in more lateral  
89 photographs than the tip of the snout, which is frequently underwater) to the rear tip of the coaming (which in  
90 lateral photographs can be located with a smaller margin of error than the center of the blowholes). The height of  
91 the dome (the raised portion of the rostrum where the coaming sits) was measured from the highest point of the  
92 coaming to the imaginary line that connects the isthmus to the lower margin of the post-blowhole island. The  
93 remaining measurements are self-explanatory from Fig. 1.

94

### 95 Photograph quality

96 Two variables in the photographs (angle and endpoints) were considered as features for grading the quality of the  
97 photographs for the measurements, with 1 indicating highest quality and 4 indicating lowest quality. The position  
98 of the whales on the photographs (or “angle”) was graded as 1 (whale perpendicular to the line connecting it to  
99 the photographer and horizontal at the surface, producing a full lateral view of the emerged portion of the head),  
100 2 (slight angle: whale in slight diagonal to the photographer, *e.g.*, approaching or leaving, but flat relative to the  
101 surface), 3 (same as 2 with a marked angle) or 4 (same as 2 or 3, but whale not horizontal). In photographs

102 graded as 4, the whale was tilted along its longitudinal axis, or the photograph was taken from a high  
103 perspective, *i.e.*, the whale was very close to the photographer standing relatively high on a boat, and the whale  
104 was viewed from above.

105 The endpoints of the dimensions measured on the whales' head were graded as 1 (both endpoints  
106 visible and clear), 2 (both endpoints visible but slightly blurred), 3 (one endpoint not visible but visual  
107 extrapolation was possible) or 4 (both endpoints not visible and extrapolation was necessary for both). Especially  
108 important for measuring rostrum length were the front tip of the bonnet (underwater in some photographs) and  
109 the rear tip of the coaming.

110 Not all measurements were affected equally by the variations in the quality of the angle and the  
111 endpoints. For example, a marked tilt along the longitudinal axis of a whale significantly affected vertical  
112 measurements (*e.g.*, valley-zenith) but did not affect longitudinal measurements (*e.g.*, rostral length) if the whale  
113 was perpendicular to the photographer. As a result, the same photograph could be graded as 1 for a variable  
114 affecting some measurements and as 4 for a variable affecting others. Measurements taken on photographs  
115 graded 1 and 2 were classified as "high quality" (HQ) and those graded 3 and 4 were classified as "low quality"  
116 (LQ). The photographs with LQ measurements were subdivided into three groups: group 1 (those with grade 3 or  
117 4 for angle), group 2 (grade 3 or 4 for endpoints) and group 3 (grade 3 or 4 for both angle and endpoints) (see  
118 Table 2 for sample sizes). HQ and LQ photographs were analyzed separately.

119 A subset of photographs of whales with continuous callosity pattern was analyzed separately in order to  
120 determine how the particular features of this type of pattern may affect age prediction using the present  
121 technique.

122 The distance between the photographers and the whales was unknown. However, the relative size of the  
123 head of the whales on the projected image was used as an indication of distance. Photographs were graded as 1  
124 (or "close") when the rostral length represented at least one half of the length of the image (30 cm or more on a  
125 40 x 60 cm projected image), 2 ("medium", rostral length between 10 and 29.9 cm) or 3 ("far", rostral length less  
126 than 9.9 cm). These three "distance categories" were used to compare the whale-to-photographer distance  
127 distributions between HQ and LQ photographs. This was done to evaluate a possible distance effect in the age  
128 predicting capability of the models. The age distribution of whales was also compared between the two samples.

129 The photographs in the catalog were contributed by 24 organizations and 55 individuals (Hamilton and  
130 Martin, 1999) and were taken over many years with different cameras and lenses from a variety of platforms and  
131 in different formats (Kraus *et al.*, 1986). For this reason, the sources of error at the moment of photographing the  
132 whales varied, and an estimation of this error was practically unfeasible.

133

### 134 **Ratios and data analysis**

135 In this paper, the terms "estimate" and "predict" will be used interchangeably. In standard statistical  
136 terminology, the word "prediction" involves an inherent uncertainty that is ascribed to each individual whale, as  
137 opposed to an average over all whales whose age is "estimated" using the same explanatory variables. The  
138 application envisioned here is to estimate (or "predict" according to statistical terminology) the age of whales on  
139 the date they were photographed.

140 Using allometric ratios to estimate the age of whales is particularly convenient because ratios are  
141 independent of the distance to (or altitude above) the whales at the moment of photographing them, and because  
142 they do not need to rely on a scale or object of known size. The measurements taken on the photographs (Fig. 1)  
143 were combined to produce 33 ratios to describe the allometric changes in the head of right whales. Of these  
144 ratios, three involved the lip apex; four were designed to measure changes in rostral length; four measured  
145 changes in the coaming; 11 combined the length of the rostral islands with rostral length, and 11 were  
146 combinations of the measurements involving the curvature of the rostral profile (those involving the zenith).

147 A study of intra- and interobserver errors was conducted to evaluate the precision of the measurements  
148 taken. Measurements of rostral length, coaming length, island length, coming-to-zenith, valley-to-zenith and lip  
149 apex-to-isthmus (Fig. 1) were taken 10 times on HQ photographs from each of nine individual whales of  
150 different ages by four readers. The coefficients of variation (CV) for the measurements taken by each reader on  
151 each trait were calculated to quantify the intraobserver errors. A two-way non-parametric ANOVA was  
152 computed using the mean, standard deviation (SD) and CV, respectively, from the 10 repeated measurements for  
153 each reader on each trait of each whale. The two factors were reader and whale. A separate analysis was  
154 conducted for each of the six traits.

155 Multiple regression analysis was performed with all photographs and separately within the HQ and the  
156 LQ subsamples using the REG Procedure of SAS version 8.2 (SAS Institute Inc., 2001). Early in the analysis it

157 was found that the use of the square root of age as the response variable (instead of age) led to homogeneous  
 158 variances as required by standard regression. Exploratory regressions were used to fit linear relationships  
 159 between the square root of age as dependent variable and the 33 ratios as independent variables. Subsets of the  
 160 best predictors (based on their higher frequency of occurrence in the models with the largest  $R^2$  in the  
 161 exploratory analysis) were used in further analyses. The REG procedure requires that all independent variables  
 162 in each observation have a value for the observation to be included in the analysis. Because some measurements  
 163 were not possible in some photographs, the ratios involving those measurements could not be calculated and  
 164 therefore, those photographs were excluded from the analyses by the procedure. As a consequence, sample sizes  
 165 for each group of photographs vary.

166 To determine if there were sex differences in age prediction, we plotted the true age *versus* predicted  
 167 age for females and males and calculated the corresponding residuals. The plots were examined, and the means  
 168 of the residuals for each sex were compared in the HQ photographs. In addition, by using the prediction model  
 169 for the HQ photographs, we added an indicator variable for gender to explore whether gender increased the  
 170 prediction capability of the model. Since multiple observations were obtained for the same individual whales, the  
 171 residuals for each individual were analyzed to determine if there were any consistent “whale effects”.

172 To aid in the validation of the model for the HQ photographs, we randomly divided the photographs  
 173 into four independent quarters. We used three quarters of the photographs to fit the model and predicted the age  
 174 of the whales in the remaining quarter of the photographs. We repeated this process four times (one for each  
 175 quarter withdrawn). We ran a Separate Slopes Analysis (ANCOVA) to test for differences in the relationship  
 176 between true and predicted age among the four quarters.

177 To provide an estimate of age prediction error, we obtained the mean and SD for each of the four  
 178 predictor variables in the HQ sample model. Using all possible combinations of the three values (mean, mean +  
 179 1 SD, mean - 1 SD) for the four variables, we fit the model to obtain predicted values of the square root of age  
 180 with the corresponding 95% confidence intervals (CI) for the predicted values. The values of square root of age  
 181 were then transformed into true values of age to calculate the CI.

182 Chi square and Fisher’s Exact tests were used to compare the age distribution of whales and the  
 183 distance distribution in the HQ and LQ photographs. Tests were two-tailed and the level of significance was set  
 184 at  $\alpha = 0.05$ .

185

## 186 RESULTS

187

188 An average of 6.02 slides (SD = 2.69, range = 2-13) for each of the 30 females and 32 males at different ages  
 189 were used. The age of whales spanned from 0 (calf year) to 14 yr (mean age span = 8.34 yr, SD = 2.71). The  
 190 procedure selected 123 HQ slides, 83 LQ slides (50 in group 1, 18 in group 2 and 15 in group 3), and 22 slides of  
 191 whales with continuous callosity pattern to fit the models.

192

### 193 High quality (HQ) photographs

194 Using the REG procedure of SAS (SAS Institute Inc. 2001) with the HQ photographs, the mean squared error  
 195 (MSE) was minimized (or equivalently, the adjusted  $R^2$  was maximized) using a model with four predictor  
 196 variables involving only six measurements (*i.e.*, adding more variables led to an increase in the MSE). Rostral  
 197 curvature and dome height showed the greatest variation with age and thus, were the best age predictors. The six  
 198 measurements were dome height, coaming length, rostral length and the three measurements involving the  
 199 zenith. The best four predictor variables (Table 2) were  $DH/CL$ ,  $(DH+VZ)/RL$ ,  $BZ/VZ$  and  $CZ/VZ$ . All had partial  
 200 p-values <0.001 except for  $CZ/VZ$ , which was significant at 0.05. We included all four variables in our final  
 201 model since we used minimum MSE as our criterion for subset selection. The algebraic sign indicates the effect  
 202 of an increase in the value of the predictor variable on the predicted square root of age. Thus, an increase in  
 203  $(DH+VZ)/RL$  results in a decrease in square root of age. Caution should be exercised in interpreting the  
 204 coefficient estimates due to correlation among the four predictor variables (multicollinearity).

205 The  $R^2$  value of 0.747 was quite high suggesting that about three quarters of the variability in the  
 206 square root of age was explained by the four predictor variables. The equation can be used as a basis for age  
 207 prediction in right whales. Thus, with the values for the four allometric ratios obtained from a photograph, the  
 208 predicted square root of age of the whale photographed,  $\hat{Y}_P$ , is obtained as

$$209 \hat{Y}_P = 2.201 + 1.125 DH/CL - 22.841 (DH+VZ)/RL + 1.396 BZ/VZ + 0.821 CZ/VZ$$

210 Using standard regression techniques, a 95% confidence interval for  $\hat{Y}_p$  led to a half width of  
 211 approximately 1.12 for independent variables in the observed range. Thus, one can be 95% “confident” that the  
 212 true square root of age of a whale falls within  $\pm 1.12$  of the value predicted by the model. However, when the  
 213 response variable (square root of age) is transformed to age, the width of the CI increases with the predicted age  
 214 of whales. Some representative approximate 95% CIs for some predicted values of age are (lower bound <  
 215 predicted age < upper bound)

216  $0^* < 0.1 < 1.49$

217  $0^* < 1 < 4.49$

218  $0.21 < 2.5 < 7.3$

219  $0.77 < 4 < 9.73$

220  $2.33 < 7 < 14.18$

221  $3.53 < 9 < 16.97$

222 (\*constrained to 0 on biological grounds)

223 The Separate Slopes Analysis indicated that there were no significant differences in the relationship  
 224 between true and predicted age among the four quarters of the photographs used to validate the model. When the  
 225 age of the whales in each quarter was estimated using the model fitted with the remaining three quarters, the  
 226 resulting four slopes of the true *versus* predicted age were close to 1 (range = 0.91 – 1.12) and were not  
 227 significantly different from each other (ANCOVA,  $F = 0.88$ ,  $df = 3$ ,  $P = 0.45$ ). This suggests that the model is  
 228 relatively insensitive to the particular set of photographs used, resulting in increased confidence in its  
 229 application.

230 An evaluation was conducted comparing the true age of whales and the predicted age based on our  
 231 model to determine if there were any systematic problems in our prediction equation. Overall the model fit well.  
 232 There was less variability and higher accuracy in age prediction among calves and juveniles than among older  
 233 whales (Fig. 2). For the whales available in our data set, there was a slight tendency for the predicted age to be  
 234 smaller than the true age for older whales. This is most apparent in Fig. 3, where the “true” number of  
 235 individuals is larger than the predicted in the three oldest age categories. A partial explanation of this  
 236 phenomenon involves the use of square root of age for regression and the original units for this figure. The effect  
 237 is sufficiently small to justify formal steps of bias correction.

238 Residual plots with whales coded by sex indicated that there were no sex-related patterns. The mean  
 239 residuals obtained from the plot for HQ photographs in Fig. 2 were not significantly different for females and  
 240 males (one-way ANOVA,  $F = 2.07$ ,  $df = 1$ ,  $P = 0.15$ ). When we added an indicator variable for gender in the HQ  
 241 model, the improvement in the  $R^2$  was negligible (from 0.747 to 0.749). The partial  $P$  value of this variable in the  
 242 model was non-significant ( $P = 0.33$ ). Overall, these results indicate that there are no apparent sex differences in  
 243 the anatomical traits of the head of right whales that were used for age prediction. Similarly, residuals for  
 244 individual whales did not show any obvious patterns (*e.g.*, most residuals for each whale having the same  
 245 algebraic sign), suggesting no whale effects on age prediction.

246

#### 247 **Low quality (LQ) photographs**

248 The same procedure was followed for the three groups of LQ photographs. Using the minimum MSE criterion,  
 249 five predictor variables were selected for groups 1 and 3 and two variables for group 2. The models are shown in  
 250 Table 2. All variables had significant partial p-values except for the intercept in group 1. The  $R^2$  values in the  
 251 three models (0.795, 0.747 and 0.890) were high. However, it should be noted that the sample sizes were  
 252 relatively low, especially in groups 2 and 3. Therefore, the predicting capability of models based on LQ  
 253 photographs of these two groups should be taken with some caution.

254 When all 83 LQ photographs were used to fit one model with five variables, the resulting  $R^2$  value  
 255 (0.710) was lower than the individual  $R^2$  values obtained in each of the models that discriminate among the three  
 256 types of LQ photographs. Similarly, when the four-variable model developed for the HQ photographs was used  
 257 to predict the age of whales in the three groups of LQ photographs, the  $R^2$  values also decreased (0.68, 0.50 and  
 258 0.78 for groups 1, 2 and 3, respectively), making evident the need for different models with photographs of  
 259 different qualities.

260 As with the HQ photographs, age prediction with LQ photographs was more accurate for young whales  
 261 (Fig. 2). The models also underestimated the age of older whales in the LQ sample (Fig. 3).

262

**263 Whales with continuous callosity pattern**

264 We attempted to develop an age prediction model specific for individuals with continuous callosity pattern.  
265 However, some measurements could not be taken on photographs of these whales due to the peculiar anatomical  
266 characteristics of this type of pattern. Thus, the number of slides used by the procedure to fit the model was quite  
267 low (22). Our chosen model, with four predictor variables, had lower  $R^2$  (0.628) and higher MSE compared to  
268 the HQ and LQ photograph models, and the partial p-values of the variables were also higher (Table 2). It is  
269 interesting to note that this was the only model that incorporated a ratio with the isthmus-apex measurement.

270

**271 Age and distance distributions**

272 In order to test for a possible relationship between whale age and photograph quality, we compared the age  
273 distribution of whales in the HQ and LQ samples. We divided the age of whales into seven categories: 0, 1, 2, 3,  
274 4-5, 6-8 and 9 yr of age or older. We found no significant differences in the age distribution of whales in the two  
275 samples (HQ  $x = 3.78$  yr,  $SD = 3.34$ ; LQ  $x = 3.74$  yr,  $SD = 3.46$ ;  $\chi^2 = 3.002$ ,  $df = 6$ ,  $P = 0.81$ ).

276 Similarly, we compared the whale-to-photographer distance distribution in both samples to test for a  
277 possible distance effect. In this case, we compared the three distance categories (1 = close, 2 = medium, 3 = far,  
278 as defined above) among the HQ photographs and the three groups of LQ photographs. We found no significant  
279 differences in distance distribution among the samples (mode = 2 in all samples; Fisher's Exact Test,  $df = 6$ ,  $P =$   
280  $0.62$ ). The majority of photographs in both samples (78.9% of HQ and 82.8% of LQ photographs) were taken at  
281 medium distance.

282 To analyze the prediction capability of the model for close and far photographs, we divided the HQ  
283 sample into two groups of similar size based on distance, using the median of the rostral length to separate close  
284 (= 1) versus far (= 2) photographs. We fit the original four-variable model developed with the HQ photographs  
285 to each of the two groups. There was virtually no difference in the performance of the model between the two  
286 groups (group 1 = close,  $R^2 = 0.789$ , group 2 = far,  $R^2 = 0.798$ ). This again supports the robustness of the model.

287

**288 Estimation of measurement precision and reader error**

289 Coefficients of variation (CV) were obtained from 10 repeated measurements of six traits taken by four readers  
290 on nine different whales. The CVs for the traits ranged from 0.31% to 4.11% (Table 3). The CVs were lowest for  
291 the longest traits (e.g., coaming and rostral lengths) and highest for the shortest traits (e.g., coaming-zenith and  
292 valley-zenith distances) among all readers. The measurements of the coaming-to-zenith distance showed the  
293 highest variation, with CVs ranging from 0 to 10.9% in one reader.

294 There were no significant differences among readers in the mean values obtained for measurements for  
295 four of the six traits (Table 3). The mean of the coaming-to-zenith distance showed differences among observers,  
296 likely due to the small values of this trait ( $x = 3.96$  mm). Although the mean rostral length also showed statistical  
297 differences among readers (likely due to the small CV), the absolute magnitude of this difference is minimal  
298 (mean values of rostral length for the nine whales measured by the four readers were 137.92, 138.01, 138.09 and  
299 138.24mm).

300 Not surprisingly, there was a highly significant whale effect in the mean values of the six traits  
301 measured (Table 3), indicating the anatomical variability among whales as well as differences in whale-to-  
302 photographer distance in the photographs used for the precision estimation test.

303

**304 DISCUSSION**

305

**306 Age prediction, ratios and models**

307 The models allow for age estimation of North Atlantic right whales by allometric measurements of their heads  
308 taken from shipboard lateral photographs. The technique is easy to apply and inexpensive, as it does not require  
309 sophisticated and costly equipment to take the photographs and measurements. Because ratios are used, the  
310 method does not rely on objects of known size on the same frame of the whales photographed (Whitehead and  
311 Payne, 1981) or on the distance (or altitude) from the camera to the animals (Best and R  ther, 1992; Angliss *et*  
312 *al.*, 1995; Perryman and Lynn, 2002) to obtain the values for the age predictor variables. Like other techniques

313 developed since the 1970's, this method is non-invasive and does not require disturbing or killing the whales to  
314 obtain vital information such as age-related population parameters.

315 A total of 10 measurements were taken on the whales' heads (Fig. 1). However, the HQ and the LQ  
316 group 1 models used only six measurements, and the remaining models used only five. Once the quality of a  
317 photograph is determined, a reader needs only to take the necessary measurements for the specific model to  
318 predict the age of the whale on that photograph, which simplifies the use of the technique.

319 The models work particularly well with younger individuals, while age prediction for whales older than  
320 8 yr has wider confidence intervals. Direct application of the models results in a slight underestimation of the age  
321 of older whales. Few whales were predicted to be 10 yr old or older, and none was predicted to be 12 yr or more  
322 (see Fig. 3). The flattening of the rostrum as the whales grow older (as shown in Fig. 1), with the resulting loss  
323 of age-related anatomical changes in this trait, may hinder age prediction for older whales. The mean age at first  
324 parturition is estimated at 11 yr for this population (Kraus, 2002). Thus, the method would work best for young  
325 whales, allowing for more accurate age prediction of individuals who have not yet reached puberty.

326 Best and R  ther (1992) suggested that systematic technical biases in aerial photographs of right whales  
327 tended to underestimate the size (and therefore the age) of whales. Whitehead and Payne (1981) obtained greater  
328 confidence limits for the mean growth curve of southern right whales at older than at younger ages. The marked  
329 curvature of the rostrum or, similarly, the notable height of the dome, in calves and young animals (Hamilton  
330 and Martin, 1999) appears to be the best anatomical indication of youth in the head of right whales. This is  
331 suggested by the fact that rostral curvature (as indicated by ratios including the zenith measurements) and dome  
332 height consistently appeared as predictor variables in all of the models, with the exception of the dome height in  
333 the continuous callosity pattern model.

334 The majority of photographs used were taken in the summer (Jul-Sep), when most calves of the year  
335 were between 7 and 9 mo old. If more lateral photographs of the same whales were taken throughout their first  
336 few months of life (when changes in the rostral curvature are more prominent), they could be used to develop a  
337 more accurate age prediction model for young right whales. Also, in our sample, all whales that were younger  
338 than 1 yr were classified as being '0 yr old' (the age of whales was rounded to the nearest year). The models  
339 predicted these whales to be between 0.25 and 0.37 yr old on average, which is closer than zero to their true age  
340 at the time of being photographed. Others have attempted to describe growth in right whale calves by using the  
341 ratio of rostral length to body length, but they found no significant positive allometry in this ratio during the first  
342 year of life (Whitehead and Payne, 1981; Best and R  ther, 1992). Given that the aim of the present study is to  
343 estimate the age of whales to the nearest year, we think that our technique is appropriate for assigning a whale to  
344 the calf year when other indications (*e.g.*, its repeated association with an adult female, or time of the year) are  
345 not available (*cf* Clapham *et al.*, 1999).

346 The rostral length expressed as a percentage of total body length has been used to predict age in  
347 southern right whales older than 1 yr (Whitehead and Payne, 1981; Best and R  ther, 1992). Rostral length  
348 appeared in all of our models, demonstrating its importance to predict age when combined with other dimensions  
349 of the whales' heads. Contrary to the HQ and LQ models, where rostral length appeared in combination with the  
350 rostral curvature and the dome height, the rostral length appeared in combination with the isthmus-to-apex  
351 distance in the model for whales with continuous callosity pattern.

352 The model for whales with continuous callosity pattern was the only one to include the isthmus-to-apex  
353 distance, and was the only model where the dome height was absent from all ratios. The continuous callosity  
354 tissue along the midline of the rostrum of these whales may increase the relative height of the isthmus and distort  
355 the typical curvature of the rostrum from a lateral view. One result of this is an increase in the isthmus-to-apex  
356 distance compared to whales with discontinuous callosity pattern, for which this measurement was not a predictor  
357 of age. The increase in the isthmus' height may decrease the measurement of the dome height, potentially  
358 removing the positive allometry with age that dome height showed in whales with discontinuous callosity  
359 patterns. Also, because the bonnet and the coaming are one indistinct callosity with no discrete endpoints  
360 separating them, the location of the margins of 'bonnet' and 'coaming' to take the zenith measurements in  
361 whales with continuous pattern is approximate and should be taken with caution. Difficulties with the photo-  
362 identification of whales with continuous patterns have been described by Kraus *et al.* (1986). It is important to  
363 note that the sample size used to fit the model for whales with continuous callosity pattern was quite small, and  
364 these effects could disappear with a larger sample.

365 Right whale callosities enlarge as the head grows (Payne *et al.*, 1983). Islands may be rounder in calves  
366 than in adult whales and may elongate as the rostrum grows. Thus, elongated islands could be an indication of  
367 older age. No ratios of the island length to rostral length appeared in the models. The width of the islands cannot  
368 be measured on lateral photographs. If dorsal (aerial) photographs were used, a ratio of island length to island  
369 width as an indication of the islands' 'shape' could be calculated. This shape could potentially show positive

370 allometry with age (longer in older whales) and be used as an age predictor. Also, the absence of island ratios in  
371 the models presented here may be due to the lack of rostral islands in whales with continuous callosity pattern  
372 (Kraus *et al.*, 1986), and to some whales having few islands that may be on the opposite side of the head as  
373 viewed on the photograph used for a particular year. As a result, these photographs had no values for the island  
374 ratios and were excluded by the regression procedure for model fitting.

375 With the exception of the model for whales with continuous callosity pattern, all models had  $R^2$  values  
376 higher than 0.74. When the HQ model was applied to LQ photographs, the resulting  $R^2$  decreased. This suggests  
377 that different models should be used with photographs of different qualities. Although the forms of the allometric  
378 ratios varied among models, a few traits (most notably, rostral curvature and dome height) appeared to be the  
379 most consistent age predictors among models. Similarly, when the appropriate allometric relationships are  
380 chosen, total length (and therefore, age class prediction) in fin whales can be reliably estimated when direct  
381 measurements are not possible (Ratnaswamy and Winn, 1993). While the selection of predictor variables based  
382 on maximum  $R^2$  and minimum MSE can be statistically suitable, no model can be positively identified as the  
383 'true' predictor of age.

384

### 385 Sources of error

386 A variety of errors reduced the accuracy of age prediction, and therefore increased the width of the confidence  
387 intervals of the predicted age of whales. As pointed out by Angliss *et al.* (1995), biases in photogrammetry of  
388 cetaceans fall into two main categories. A combination of errors associated with photograph quality and reader  
389 error (technical biases) and the inherent inter-individual differences among whales (biological bias) increased the  
390 variability in age prediction in our study.

391 The best photographs were those taken at medium distance with the whale horizontal at the surface and  
392 perpendicular to the photographer, and showing one side of the entire rostrum back to the post-blowhole  
393 callosities. Deviations from this ideal affected the measurements in different ways (*cf* Gordon, 1990). Some of  
394 the most common problems related to photograph quality were: some endpoints were not visible (*e.g.*, the post-  
395 blowhole callosities were not in the frame or the front tip of the bonnet was underwater); the whale was tilted on  
396 one side and the angle did not allow the viewer to visualize the rostrum's profile from a lateral perspective  
397 (especially important to determine the zenith); the whale was leaving or approaching the photographer, thus  
398 affecting longitudinal measurements; the image was backlit and the whale was too dark to find the apex; the  
399 lower lips were not in the 'closed' position, thus affecting the measurements involving the apex, *etc.*

400 The determination of the apex had a considerable variability given that the highest point of the lower lip  
401 is usually not a discrete 'point' but a wider portion of the lip, *i.e.*, the apex could be placed forward or backward  
402 along the lip according to the reader's criterion. This variability in apex placement also affected the position of  
403 the isthmus. The isthmus-to-apex distance was included only in the model for whales with continuous callosity  
404 pattern.

405 In some cases, the position of endpoints such as the rear margin of the bonnet and the front margin of  
406 the coaming was difficult to determine due to cyamid infestation on the head (Kraus *et al.*, 1986; Rowntree,  
407 1996). This tended to erroneously enlarge the apparent dimensions of callosities. In many close photographs the  
408 texture and color allowed the reader to discern between callosity tissue and cyamids, and the endpoints of the  
409 callosities used for the measurements were their true endpoints. In photographs taken from afar, the endpoints  
410 were considered to be in the midpoint of the cyamid 'ring' that surrounds the callosities (*i.e.*, the midpoint  
411 between what appeared to be callosity tissue and the external margin of the cyamid ring). In these cases, the final  
412 determination of the callosity outline was made using the composite drawing of each whale's identifying features  
413 in the catalog (Hamilton and Martin, 1999).

414 The precision of the repeated measurements made by the readers in terms of CVs (Table 3) is consistent  
415 with the errors reported in similar studies that used photographs of baleen whales to estimate their size and age,  
416 where CVs ranged between 0.6 and 4.6% (Cubbage and Calambokidis, 1987; Best and R  ther, 1992; Angliss  
417 *et al.*, 1995; Spitz *et al.*, 2000). Readers could also be trained beforehand to improve measurement precision.

418 A longer whale-to-photographer distance did not necessarily imply that the quality of photographs was  
419 lower than at closer distance. In fact, some photographs taken at longer distances were better than those where  
420 the whales were too close, because in the latter a higher angle significantly affected some measurements (*cf*  
421 Gordon, 1990). However, when whales were too far, the distances measured between points were small, and  
422 consequently the relative error in those measurements increased. As described by Best and R  ther (1992), Table  
423 3 shows an inverse relationship between the size of the measurements and their corresponding CVs, indicating  
424 that the error made in finding the endpoints is stable and independent of the magnitude of the measurement  
425 involved.



426 One way to counter this problem, especially when measuring small traits (e.g., island length, zenith  
427 measurements), is to increase the distance between the projector and the screen. This increases the size of the  
428 projected image and should reduce the error. Nevertheless, the benefit gained with a larger image size may not  
429 be worth the loss of image definition, which may in turn reduce precision. Measurement quality could be  
430 enhanced by digitising the photographs and using specialized software to take the measurements (cf Angliss *et*  
431 *al.*, 1995; Perryman and Lynn, 2002). The use of three-dimensional imagery also allows for higher precision, but  
432 the added cost and/or logistical complications may outweigh the minor improvement (Cabbage and  
433 Calambokidis, 1987; Angliss *et al.*, 1995; Dawson *et al.*, 1995).

434 Medium distance photographs (as defined in methods) should be preferred over 'too far' or 'too close',  
435 unless the close photographs were taken horizontally to the whale (*i.e.*, close to the water surface) to reduce the  
436 angle effect. To reduce the error due to distance when measuring sperm whales, Dawson *et al.* (1995) discarded  
437 stereo photographs taken from a boat at distances greater than 60 m for their analysis. Close photographs of right  
438 whales have the added benefit of allowing for better discrimination between callosity and cyamids, thus reducing  
439 this source of error when finding the endpoints of the traits being measured.

440 Although we did not formally quantify it, the largest contribution to the width of the confidence  
441 intervals of predicted age is likely to be the inter-whale variation in the traits measured. Whitehead and Payne  
442 (1981) found that the best measurements of body length and rostral length were only slightly better correlated  
443 than the worst measurements, concluding that deviations from the regression line were mainly due to variations  
444 between individuals and not to measurement errors.

445 When several photographs of the same whale are available for different years, multiple sets of  
446 measurements and multiple age predictions for the same individual can be obtained. This could increase the  
447 accuracy of age prediction for a given whale.

448

#### 449 **Conclusion**

450 We have presented a benign, simple and inexpensive photogrammetric technique to predict the age of N Atlantic  
451 right whales based on shipboard photographs. The compatibility of shipboard and aerial photographs of N  
452 Atlantic right whales used for matching known individuals has been described by Kraus *et al.* (1986). A  
453 combination of shipboard and aerial photographs, when available for the same individuals, could lead to the  
454 development of a similar method to predict the age of whales from aerial photographs. This method could be  
455 applied also to southern right whales in the southern hemisphere, where research is based mainly on aerial  
456 photographs (Whitehead and Payne, 1981; Best and R  ther, 1992).

457

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467

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510

511 **TABLES AND FIGURES**

512

513 Table 1: Traits of the head of right whales used for the measurements.

514

Trait	Description
Dome	the raised portion of the rostrum where the coaming sits
Lip apex	the highest visible point of the lower lip on a lateral view
Isthmus	the narrowest point of the rostrum, determined by the intersection between the middle line along the rostrum and the perpendicular line to the lip apex
Valley	the lowest point of the rostral middle line on a lateral view
Zenith	the imaginary line that connects the highest point of the bonnet with the highest point of the coaming on a lateral view (used to describe the curvature of the rostrum)

515

516 Table 2. Age prediction models for high quality (HQ) and low quality (LQ, groups 1, 2 and 3) photographs, and  
 517 for whales with continuous callosity pattern. Adj: adjusted. MSE: mean squared error; SE: standard error. NS:  
 518 non significant, P-value > 0.05; \*significant, 0.01 < P-value < 0.05; \*\*highly significant, P-value < 0.01.  
 519 Abbreviations for the measurements used in the variables as in Fig. 1.

520

Sample	n	R <sup>2</sup> (adj R <sup>2</sup> )	MSE	Variable	Estimate	SE	t	P
HQ	123	0.747 (0.738)	0.294	Intercept	2.201	0.366	6.02	**
				DH/CL	1.125	0.211	5.34	**
				(DH+VZ)/RL	-22.841	2.05	-11.14	**
				BZ/VZ	1.396	0.317	4.41	**
				CZ/VZ	0.821	0.374	2.19	*
LQ 1	50	0.795 (0.772)	0.269	Intercept	0.656	0.78	0.84	NS
				DH/CL	0.813	0.368	2.21	*
				(DH+VZ)/RL	-18.263	3.307	-5.52	**
				(BZ+CZ)/VZ	1.796	0.368	4.89	**
				DH/CZ	0.217	0.054	4.04	**
				DH/VZ	-0.218	0.052	-4.16	**
LQ 2	18	0.747 (0.714)	0.291	Intercept	2.221	0.53	4.19	**
				[(DH+VZ)/RL]/ [(BZ+CZ)/VZ]	-30.301	5.153	-5.88	**
				DH/BZ	0.435	0.092	4.71	**
LQ 3	15	0.890 (0.829)	0.246	Intercept	16.66	3.297	5.05	**
				(DH+VZ)/RL	-44.351	7.214	-6.15	**
				CZ/VZ	-10.278	2.827	-3.64	**
				DH/VZ	-2.013	0.668	-3.02	*
				DH/BZ	-0.629	0.15	-4.21	**
				(CZ/VZ)/(BZ/VZ)	5.329	1.529	3.49	**
Continuous	22	0.628 (0.541)	0.420	Intercept	1.844	0.717	2.57	*
				BZ/VZ	1.859	0.524	3.55	**
				CZ/VZ	-0.631	0.479	-1.32	NS
				(VZ+BZ)/CZ	-0.734	0.205	-3.57	**
				IA/RL	7.698	6.885	1.12	NS

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528 Table 3. Average values of mean (in mm), standard deviation (SD) and coefficient of variation (CV, expressed  
 529 as a percentage) for repeated measurements for six traits. Significance values of the two-way non-parametric  
 530 ANOVA with reader and whale as effect. NS: non significant, P-value > 0.05; \*significant, 0.01 < P-value <  
 531 0.05; \*\*highly significant, P-value < 0.01.

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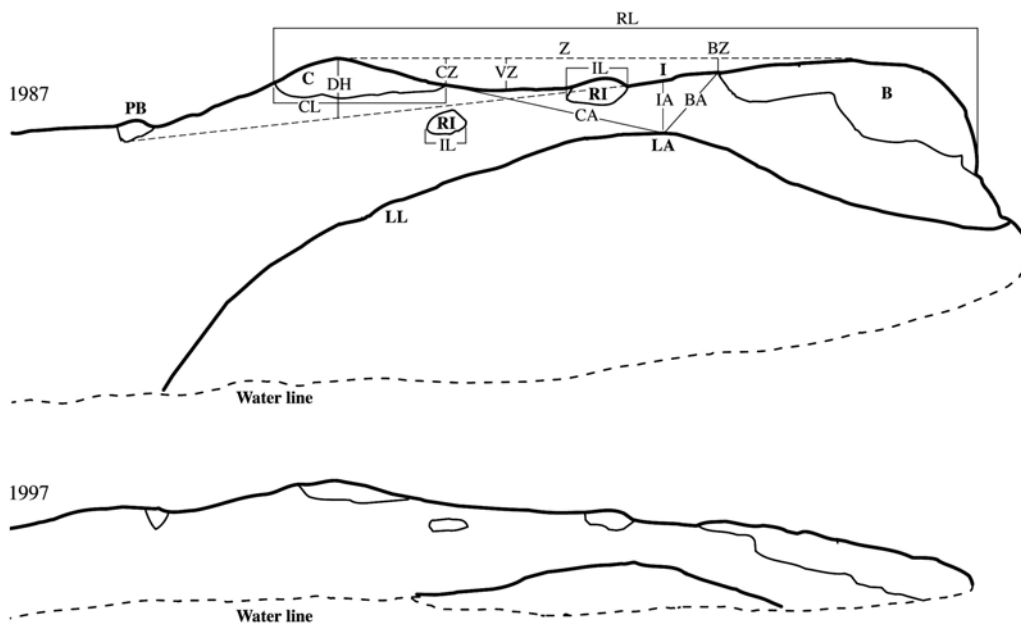
Trait	Values			Reader effect			Whale effect		
	Mean	SD	CV	Mean	SD	CV	Mean	SD	CV
Island length	9.32	0.18	2.13	NS	NS	NS	**	*	**
Coaming length	30.92	0.24	0.90	NS	NS	NS	**	NS	**
Rostral length	138.06	0.39	0.31	*	NS	*	**	NS	**
Apex-isthmus	11.17	0.30	3.29	NS	**	NS	**	*	**
Coaming-zenith	3.96	0.16	4.11	*	NS	NS	**	*	**
Valley-zenith	4.57	0.15	3.61	NS	*	NS	**	*	*

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534

535 Figure 1. Traits of the head of a right whale and measurements taken on lateral photographs (see Table 1 for  
 536 descriptions). Traits: B: bonnet; C: coaming; I: isthmus; LA: lip apex; LL: lower lip; PB: post-blowhole  
 537 callosity; RI: rostral island; Z: zenith. Measurements: BA: bonnet to apex; BZ: rear margin of bonnet to zenith;  
 538 CA: coaming to apex; CL: coaming length; CZ: front margin of coaming to zenith; DH: dome height; IA:  
 539 isthmus to apex; IL: island length; RL: rostral length; VZ: valley to zenith. 1987: calf year; 1997: the same whale  
 540 at age ten.

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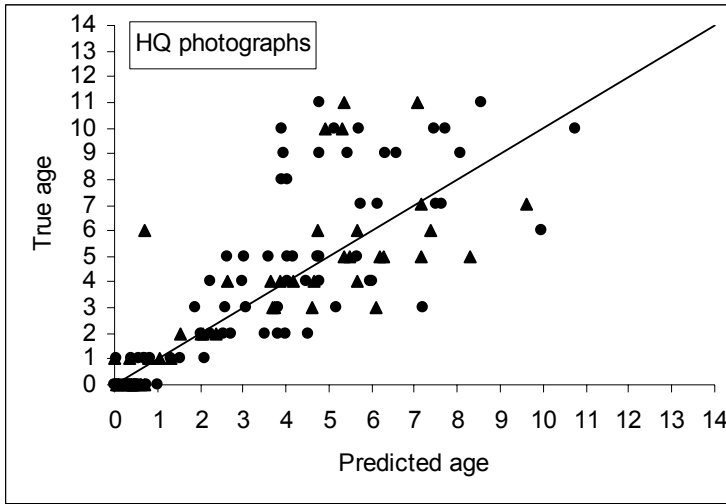
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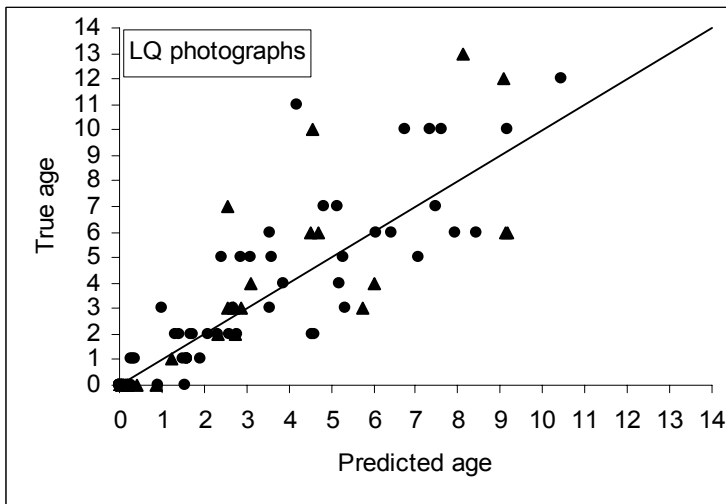
547 Figure 2. A comparison of true and predicted age (in yr) of females (●) and males (▲) in high quality (HQ) and  
548 low quality (LQ) photographs (slope of lines = 1).

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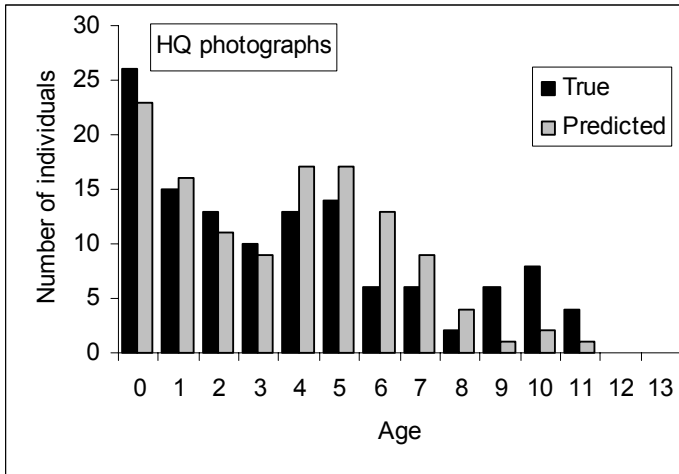
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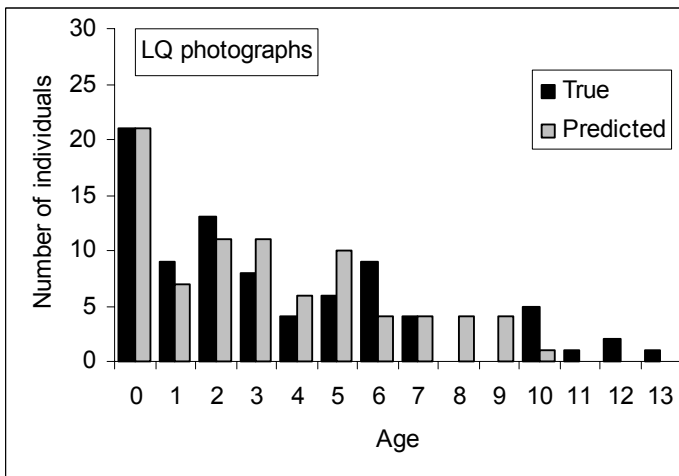
565 Figure 3. True and predicted age structures (in yr) of the populations of high quality (HQ) and low quality (LQ)  
 566 photographs.

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